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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	10/758,370
Filing Date	01/15/04
First Named Inventor	David M. Bargerion
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	MS306435.1/MSFTP504US

Sheet

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of

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**NON PATENT LITERATURE DOCUMENTS**

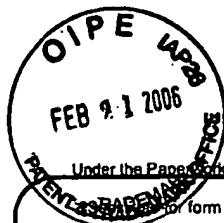
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GC		TUNG-SHOU CHEN, et al., A New Search Engine for Chinese Document Image Retrieval Based on Chinese Character Segmentation Features, International Journal of Computer Processing of Oriental Languages, 2002, pp. 417-431, Vol. 14-No. 4.	
GC		DAVID DOERMANN, The Indexing and Retrieval of Document Images: A Survey, Computer Vision and Image Understanding: CVIU, 1998, pp.1-37.	
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Examiner Signature	/Giovanna Colan/	Date Considered	09/17/2006
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Sheet 1 of 3

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Application Number	10/758,370
Filing Date	January 15, 2004
First Named Inventor	David M. Bargeron
Art Unit	2171
Examiner Name	
Attorney Docket Number	MS306435.01/MSFTP504US

### U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
GC	GC	US- 5,465,353 A	11-07-1995	Hull, et al.	
		US- 5,867,597 A	02-02-1999	Peairs, et al.	
	GC	US- 2002/116379 A1	08-22-2002	Lee Dar-Shyang, et al.	
	GC	US- 2003/152293 A1	08-14-2003	Joel Bresler, et al.	
	GC	US- 6,397,212 B1	05-28-2002	Peter Biffar	
	GC	US- 2003/076537 A1	04-24-2003	Barry Allen Thomas Brown	
	GC	US- 2002/032698 A1	03-14-2002	Ingemar J. Cox	
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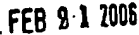
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				Examiner Name	
Sheet	3	of	3	Attorney Docket Number	MS306435.01/MSFTP504US

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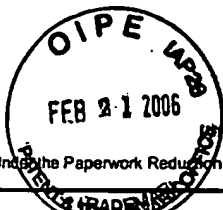
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of 3

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Application Number	10/758,370
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First Named Inventor	David M. Barger
Art Unit	2171
Examiner Name	
Attorney Docket Number	MS306435.01/MSFTP504US

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GC		European Search Report dated February 1, 2006 for European Patent Application No. EP05000750. 7 pages.	
GC		J.J. HULL. Document Image Matching and Retrieval with Multiple Distortion-Invariant Descriptors. Document Analysis Systems, World Scientific Publishing Co. 1995. Retrieved from the internet: <a href="http://rii.richoh.com/hull/pubs/hull_das94.pdf">http://rii.richoh.com/hull/pubs/hull_das94.pdf</a> . Retrieved on 12-06-2005.	
GC		J.J. HULL, et al. Document Image Matching Techniques. Symposium on Document Image Understanding Technology, April 30, 1997, pg. 31-35.	
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				Filing Date	January 15, 2004
				First Named Inventor	David M. Bargeron
				Art Unit	2171
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Sheet	1	of	1	Attorney Docket Number	MS306435.01/MSFTP504US

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	Filing Date		2004-01-15
	First Named Inventor	David M. Barger	
	Art Unit	2171	
	Examiner Name	unknown	
	Attorney Docket Number	MS306435.01/MSFTP504US	

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GC	1	20040205542		2004-10-14	David M. Barger, et al.		
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GC	3	20040252888		2004-12-16	David M. Barger, et al.		

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GC	4	20060050969		2006-03-09	Michael Shilman, et al.	
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GC	2	REMCO BOUCKAERT, Low level information extraction: A bayesian network based approach, 2002, 9 pages, In Proceedings of TextML 2002, Sydney, Australia.	<input type="checkbox"/>
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GC	5	M. COLLINS, Discriminative training methods for hidden markov models : Theory and experiments with perception algorithms, July 2002, pages 1-8, In Proceedings of Empirical Methods in Natural Language Processing (EMNLP02).	<input type="checkbox"/>
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GC	16	L.R. RABINER, A tutorial on hidden markov models and selected applications in speech recognition, 1989, In Proceedings of the IEEE, Volume 77, pages 257-286.	<input type="checkbox"/>
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GC	22	J. KIM, et al., Automated labeling in document images, January 2001, page 1-12, In Document Recognition and Retrieval VIII, Vol. 4307. Available online at <a href="http://archive.nlm.nih.gov/pubs/kim/spie2001/spie2001.pdf">http://archive.nlm.nih.gov/pubs/kim/spie2001/spie2001.pdf</a> , last checked April 2, 2006.	<input type="checkbox"/>
GC	23	D. NIYOGI, et al., Knowledge-based derivation of document logical structure, 1995, page 472-475, In Third International Conference on Document Analysis and Recognition, Montreal, Canada.	<input type="checkbox"/>
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GC	27	T. KANUNGO, et al., Stochastic language model for style-directed physical layout analysis of documents, 2003, pages 583-596, In IEEE Transactions on Image Processing, Vol. 5, No. 5.	<input type="checkbox"/>
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GC	29	J. F. HULL, Recognition of mathematics using a two dimensional trainable context-free grammar, Master's thesis, MIT, June 1996, 101 pages.	<input type="checkbox"/>
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GC	31	J. LAFFERTY, et al., Conditional Random Fields: Probabilistic Models for Segmenting and Labeling Sequence Data, 2001, In Proceedings of the 18th International Conference on Machine Learning, Morgan Kaufmann, San Francisco, CA, pages 282-289.	<input type="checkbox"/>
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GC	33	D. KLEIN, et al., A* parsing: Fast Exact Viterbi Parse Selection, Stanford University, 2001, 8 pages, Tech. Rep. dbpubs/2002-16.	<input type="checkbox"/>
GC	34	Y. FREUND, et al., A Decision-Theoretic Generalization of On-line Learning and an Application to Boosting, 1995, In Computational Learning Theory: Eurocolt '95, Springer-Verlag, pages 23-37.	<input type="checkbox"/>
GC	35	I. PHILIPS, et al., Cd-rom Document Database Standard, In Proceedings of the 2nd International Conference on Document Analysis and Recognition (ICDAR), 1993, pages 478-483.	<input type="checkbox"/>
GC	36	P. VIOLA, et al., Rapid Object Detection Using a Boosted Cascade of Simple Features, 2001, page 1-9, In Proceedings of the IEEE Conference on Computer Vision and Pattern	<input type="checkbox"/>
GC	37	T. BREUEL, High Performance Document Layout Analysis, 10 pages, In 2003 Symposium on Document Image Understanding Technology, Greenbelt Maryland.	<input type="checkbox"/>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10758370
Filing Date	2004-01-15
First Named Inventor	David M. Barger
Art Unit	2171
Examiner Name	unknown
Attorney Docket Number	MS306435.01/MSFTP504US

GC	38	R. ZANIBBI, et al., A Survey of Table Recognition: Models, Observations, Transformations, and Inferences, International Journal of Document Analysis and Recognition, 2004, Vol. 7, No. 1. pages 1-16.	<input type="checkbox"/>
GC	39	K. F. CHAN, et al., Mathematical Expression Recognition: A Survey, 2000, International Journal on Document Analysis and Recognition, Vol. 3, pages 3-15.	<input type="checkbox"/>
GC	40	E. CHARNIAK, Statistical Techniques for Natural Language Parsing, AI Magazine, 1997, Vol. 18, No. 4, pages 33-44.	<input type="checkbox"/>
GC	41	M. KAY, Chart Generation, In Proceedings of the 34th Annual Meeting of the Association for Computational Linguistics (ACL '96), Santa Cruz, California, 1996, pages 200-204.	<input type="checkbox"/>
GC	42	M. VISWANATHAN, et al., Document Recognition: An Attribute Grammar Approach, March 1996, In Proc. SPIE Vol. 2660, Document Recognition III, Vincent/Hull, Eds., pages 101-111.	<input type="checkbox"/>
GC	43	C.D. MANNING, et al., Foundations of Statistical Natural Language Processing. The MIT Press, 1999, page 1-3.	<input type="checkbox"/>
GC	44	TOBIAS SCHEFER, et al., Active Hidden Markov Models For Information Extraction, In Advances in Intelligent Data Analysis, 4th International Conference, IDA 2001, pages 309-318.	<input type="checkbox"/>
GC	45	P. CHOU, Recognition Of Equations Using a 2-D Stochastic Context-Free Grammar, In SPIE Conference on Visual Communications and Image Processing, Philadelphia, PA, 1989, pages 852-863.	<input type="checkbox"/>
GC	46	M. KAY, Algorithm Schemata And Data Structures In Syntactic Processing, 1986, pages 35-70.	<input type="checkbox"/>
GC	47	MICHAEL SHILMAN, et al., Spatial Recognition and Grouping of Text and Graphics, Eurographics Workshop on Sketch-Based Interfaces and Modeling, 2004, 5 pages, Hughes/Jorge, Eds.	<input type="checkbox"/>
GC	48	MICHAEL SHILMAN, et al., Recognition and Grouping of Handwritten Text in Diagrams and Equations, IWFHR 2004, September 2004, page 69-77, Toyko, Japan.	<input type="checkbox"/>

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10758370
	Filing Date		2004-01-15
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	Examiner Name	unknown	
	Attorney Docket Number	MS306435.01/MSFTP504US	

GC	49	MICHAEL SHILMAN, et al., Recognizing Freeform Digital Ink Annotations, IAPR International Workshop on Document Analysis Systems, September 8-10, 2004, 12 pages, Florence, Italy.	<input type="checkbox"/>
GC	50	MICHAEL COLLINS, et al., "Logistic Regression, AdaBoost, and Bregman Distances", Machine Learning, 48(1/2/3) 2002	<input type="checkbox"/>

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**EXAMINER SIGNATURE**

Examiner Signature	/Giovanna Colan/	Date Considered	09/17/2006
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☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Himanshu S. Amin/	Date (YYYY-MM-DD)	2006-08-22
Name/Print	Himanshu S. Amin	Registration Number	40894

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